

HAND DELIVERED  
(2/99)  
FEB 13 2009

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☒ Check here and attach additional sheets if necessary. 1 Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name JAMES A. GOOCH, JR.  
Business address 714 N. BROADWAY, B-2 PROVIDENCE, KY 42450  
Business telephone 270-635-1139  
Home address 210 BRADLEY ST., PROVIDENCE, KY 42450  
Title of public position, or office sought STATE REPRESENTATIVE, DISTRICT 12  
Other occupations of filer INSURANCE AGENT, BUSINESSOWNER, REAL ESTATE SALES ASSOCIATE  
Occupations of spouse HAIRDRESSER

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation INSURANCE AGENT, MAVERICK INSURANCE  
VICE-PRESIDENT, WEST KY STEEL CONSTRUCTION COMPANY, INC.  
LICENSED SALES ASSOCIATE GIBSON REALTY & AUCTION

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation SPOUSE IS OWNER OF "THE HAIR FOLLIES"  
BEAUTY SALON

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more WEST KY STEEL  
CONSTRUCTION COMPANY INC, PO BOX 68, 701 GULF STREET  
PROVIDENCE, KY 42450

Sources and form of gross income of the filer (list sources by name) MAVERICK INSURANCE  
SALARY & COMMISSIONS, MODERN WOODMEN OF AMERICA - LIFE INSURANCE RENEWAL COMMISSIONS  
B+B INSURANCE - RENEWAL COMMISSIONS, GIBSON REALTY & AUCTION - REAL ESTATE SALES  
COMMISSIONS, WEST KY STEEL CONSTRUCTION CO. INC, SALARY AND PROFITS,  
COMMONWEALTH OF KY - SALARY - STATE REPRESENTATIVE, SALE OF VACANT LOT  
701 N. BROADWAY PROVIDENCE, KY, to TURNER INC, DONOVAN DR. PROVIDENCE, KY

Sources and form of gross income of the filer's spouse (list sources by name) \_\_\_\_\_  
ALTA GOODIN d/b/a THE HAIR FOLLIES

Positions of a fiduciary nature in a business NONE

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children RENTAL PROPERTIES 404 BARBOUR  
ST, 415 S BROADWAY, 712 MADISON ST, 50% OWNERSHIP SHAMROCK APARTMENTS  
911 S. BROADWAY AND 50% OWNERSHIP GARDENBROOK APARTMENTS, 714 N.  
BROADWAY ALL IN PROVIDENCE, KY.

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) NONE

The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)

<u>INDEPENDENCE BANK</u>	<u>DIXON BANK</u>
<u>INTEGRA BANK</u>	<u>UNITED COMMUNITY BANK</u>
<u>CITIFINANCIAL MORTGAGE</u>	<u>EQUITY ONE (LITTON LOAN SERVICING)</u>

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

NONE

The names of any of the filer's clients who are legislative agents or employers

WEST KY STEEL CONST. CO. INC OF WHICH I AM A MINORITY STOCKHOLDER  
AND NOT INVOLVED IN THE DAILY MANAGEMENT OF THE BUSINESS DOES  
WORK FOR VARIOUS COAL COMPANIES WHICH MAY OR MAY NOT HIRE A  
LEGISLATIVE AGENT. THE LIST INCLUDES; ALLIANCE COAL, PEABODY COAL CO,  
ARCH MINERALS, CONSOL COAL CO.

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☒ No ☐ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

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State Agency

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**NOTICES**

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

02/13/09  
Date



Filer

Send completed statements to: The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601  
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.

2/13/09

Under sources of income I have listed the sale of a vacant lot that I owned located at 701 N. Broadway in Providence, R.I. to Timewell of R.I. located on 201 Duncan Drive, Providence, R.I. This lot previously was listed as an office building but that building was destroyed by a tornado in 2002. I had not listed the vacant lot in recent years because I believed the value to be less than \$10,000.

Timewell opened their location in 2007 and needed more land and since this lot joined their property I sold it to them in an amount a little more than \$10,000.

I hope this explanation is sufficient.

If you have any additional questions please call me at:  
270-635-0454 cell

